

**LAW FIRM OF NAREN THAPPETA**  
Patent, Trademark, and Copyright Matters  
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November 6, 2000

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 - FIRST CLASS MAIL**  
I hereby certify that this correspondence is being deposited postage prepaid, with the United States Postal Service as "First Class Mail" in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 6, 2000

 (Signature)  
By: ~~Naren Thappeta~~ Julie K. Adams (Type Name)

Box PCT  
The Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Re: Application Under 35 U.S.C. 371  
Serial No.: 09/600,054; Date Filed: July 6, 2000  
Title: Providing Desired Service Policies to Subscribers Accessing Internet  
Our Reference: NT-6/USA

Sir:

In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US)" — dated August 9, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. This Cover Letter (2 Pages in duplicate);
2. Copy of Notification of Missing Requirements (1 Page);
3. Original Declaration executed by the inventors (4 Pages);
4. Petition under 37 C.F.R. § 1.47 (a) (2 Pages);
5. Cover letter for Assignment (Form PTO-1595)(1 Page);
6. Assignment to Nortel Networks Limited., official recordation and return of which

The Honorable Commissioner  
for Patents and Trademarks  
November 6, 2000

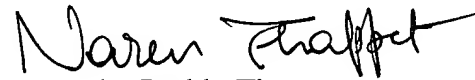
Docket No.: NT-6/USA  
Serial No.: 09/600,054

is respectfully requested (1 Page);

7. Petition for Extension of Time Under 37 CFR 1.136(a)(1 Page);
8. A credit card payment form authorizing charge of \$690.00 to cover the following (1 Page):
  - (A) Surcharge - \$130.00;
  - (B) Petition for Extension of Time - \$390.00;
  - (C) Assignment Recordation - \$40.00; and
  - (D) Petition under 37 C.F.R. §§ 1.17(h) and 1.47 (a) \$130.00; and
9. A self-addressed stamped post card: It is respectfully requested that self-addressed stamped postcard be returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 20-0674. A duplicate copy of this letter is enclosed.

Respectfully submitted,



Narendra Reddy Thappeta  
Attorney for Applicant  
Registration No. 41,416

Law Firm of Naren Thappeta  
39899 Balentine Drive, #119  
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09/600054



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: ASSISTANT COMMISSIONER FOR PATENT  
 Box PCT  
 Washington, D.C. 20231

U.S. APPLICATION NO.	ALLES	FIRST NAMED APPLICANT	NT-6
			ATTY. DOCKET NO.

LAW FIRM OF NAREN THAPPETA  
 39899 BALENTINE DRIVE #119  
 NEWARK CA 94560

5611

INTERNATIONAL APPLICATION NO. 272

I.A. FILING DATE	PRIORITY DATE
12/01/99	12/03/98

DATE MAILED:

08/09/00

09 AUG 2000

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
  - ☒ a Designated Office (37 CFR 1.494),
  - ☐ an Elected Office (37 CFR 1.495):
  - ☒ U.S. Basic National Fee.
  - ☒ Copy of the international application in:
    - ☐ a non-English language.
    - ☒ English.
  - ☐ Translation of the international application into English.
  - ☐ Oath or Declaration of inventors(s) for DO/EO/US.
  - ☐ Copy of Article 19 amendments.
  - ☐ Translation of Article 19 amendments into English.
  - ☐ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
  - ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
  - ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
  - ☐ Assignment document.
  - ☐ Power of Attorney and/or Change of Address.
  - ☐ Substitute specification filed \_\_\_\_\_.
  - ☐ Verified Statement Claiming Small Entity Status.
  - ☐ Priority Document.
  - ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
  - ☐ Other:
- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☐ PCT/DO/EO/917☐ Notice of Defective Translation☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: 703

308-9116

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
*Alles et al*

Appl. No.: 09/600,054

Filed: July 6, 2000

For: Providing Desired Service Policies to  
Subscribers Accessing Internet

Art Unit: UNASSIGNED

Examiner: UNASSIGNED

Attorney Docket: NT-006/USA

**STATEMENT OF FACTS IN SUPPORT OF FILING  
ON BEHALF OF NONSIGNING INVENTOR (37 CFR § 1.47)**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

The Combined Declaration and Power of Attorney for Patent Application accompanying this correspondence does not contain the signature of Mr. Thomas Daly ("nonsigning inventor"), one of the named inventors. In support of the filing of this application without said signature, the undersigned representative states as follows.

The last known address of the nonsigning inventor is as follows:

Mr. Thomas Daly  
239 Coronado Avenue  
San Carlos, CA 94070

The office of the undersigned representative sent a copy of the above-noted Combined Declaration and Power of Attorney for Patent Application, enclosed in an envelope by certified mail to the last known address of the nonsigning inventor on September 8, 2000. The envelope was returned to the undersigned representative by the United States Postal Service with a label

marked as follows:

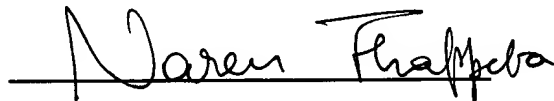
DALY238 940703012 1899 11 09/11/00  
FORWARD TIME EXP RTN TO SEND  
DALY  
1015 TOURNAMENT DR  
HILLSBOROUGH, CA 94010-7429  
RETURN TO SENDER

Accordingly, it is believed that the requirement under 37 C.F.R. § 1.47 (a) that "... a joint inventor ... cannot be found or reached after diligent effort ..." has been satisfied.

A fee of \$130.00 under 37 C.F.R. § 1.17(h) for this petition is sought to be paid by the accompanying documents.

Accordingly, it is believed that all the requirements under 37 C.F.R. § 1.47(a) have been satisfied, and it is requested that the subject application be passed to substantive examination immediately.

Respectfully submitted,



Narendra Reddy Thappeta  
Attorney for Applicants  
Registration No.: 41,416

Date: November 6, 2000  
Law Firm of Naren Thappeta  
39899 Balentine Drive, #119  
Newark, California 94560  
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(510) 252-9982 (Fax)

D:\matters\NT\0006\USA\pet inventor not found nt-6.wpd